

CBE 30357

12/7/17

# HYDRODYNAMIC LUBRICATION THEORY APPLIED TO:

## DIARTHROIDAL JOINTS

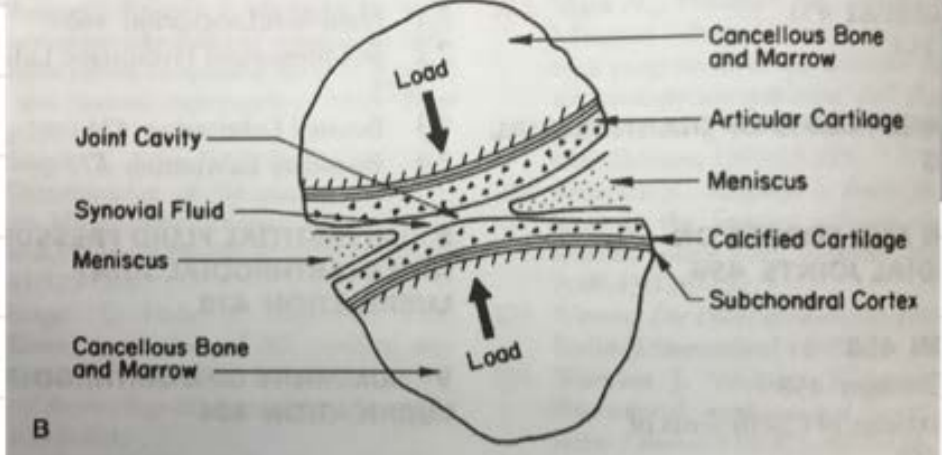
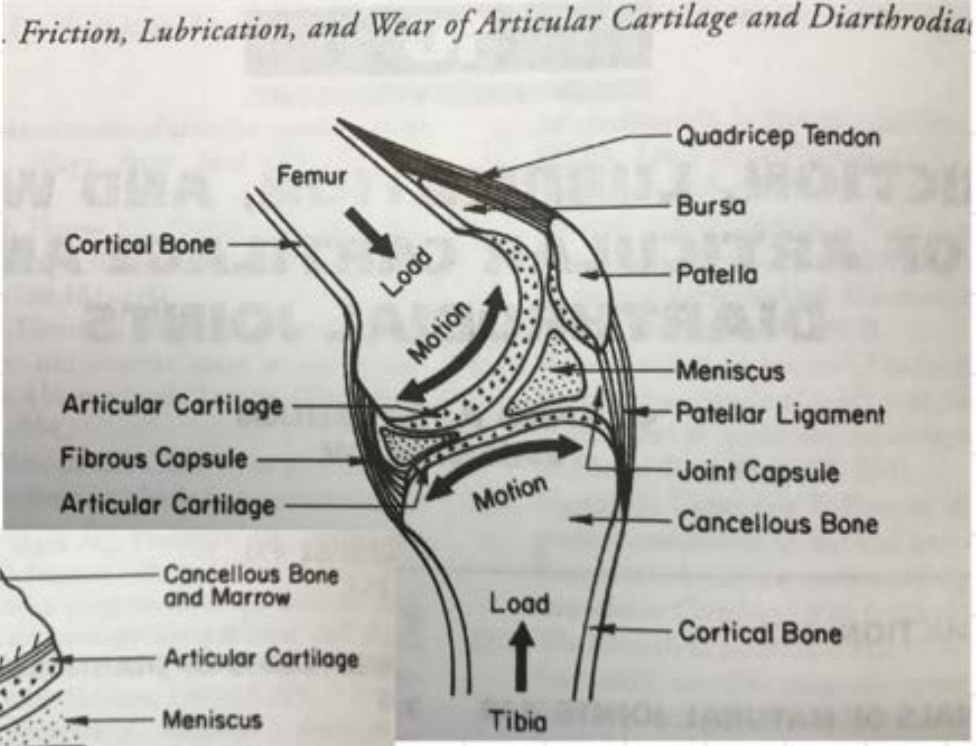
*Friction, Lubrication, and Wear of Articular Cartilage and Diarthrodial*

**10**

**FRICION, LUBRICATION, AND WEAR OF ARTICULAR CARTILAGE AND DIARTHRODIAL JOINTS**

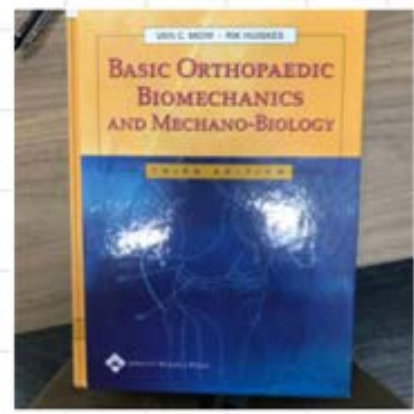
GERARD A. ATESHIAN  
VAN C. HOW

1. INTRODUCTION 447	6. DEAR 495
2. MATERIALS OF NATURAL JOINTS 449	7. HYPOTHESES FOR DIARTHRODIAL JOINT LUBRICATION 468
2.1 Articular Cartilage 449	7.1 Fluid-Film Lubrication 468
2.2 Synovial Fluid 451	7.2 Self-pressurized Hydrodynamic Lubrication 471
2.3 Bone 453	7.3 Bound Lubrication 474
3. ANATOMIC FORMS OF DIARTHRODIAL JOINTS 453	7.4 Boundary Lubrication 477
4. MOTION AND FORCES ON DIARTHRODIAL JOINTS 454	8. INTERSTITIAL FLUID PRESSURIZATION AND DIARTHRODIAL JOINT LUBRICATION 478
5. FRICTION 458	9. SUMMARY OF DIARTHRODIAL JOINT LUBRICATION 484
5.1 Basic Concepts 458	10. PROBLEMS 485
5.2 Measurements of Coefficients of Friction 460	



**B**

**FIGURE 10-1.** (A) Schematic representation of the human knee joint showing important anatomical features for mechanical function [171]. (B) Enlargement of the load-bearing region in the knee, depicting a thin layer of synovial fluid ( $<50 \mu\text{m}$ ) and two layers of articular cartilage (each  $<7 \text{ mm}$ ) [9,171]. Each layer of articular cartilage contains approximately 80% fluid.



THIS SITUATION IS NOT COMPLETELY UNDERSTOOD!!  
I WILL GIVE MY THOUGHTS...

### Mechanisms of lubrication of natural joints

This table (from GRH, AU) gives a list of possible mechanisms for joint lubrication.

TABLE 2

Date	Authors	Mechanism
1932	McConaill (ref. 25)	Hydrodynamic
1934	Jones (ref. 26)	Boundary
1936	Jones (ref. 27)	Hydrodynamic
1959	Charnley (ref. 28)	Boundary
1959	McCutcheon (ref. 29)	Weeping
1966	Tanner (ref. 30)	Ehl
1967	Dowson (ref. 31)	Ehl
1967	Fein (ref. 32)	Squeeze-film
1967	Maroudas (ref. 17)	Hyaluronate-protein gels
1968	Walker, Dowson, Longfield and Wright (ref. 33)	Boosted
1970	Walker, Unsworth, Dowson, Sikorski and Wright (ref. 34)	Hyaluronate-protein filtration
1974	Unsworth, Dowson and Wright (ref. 35)	Mixed
1977	Mansour and Mow (ref. 22)	Fluid film

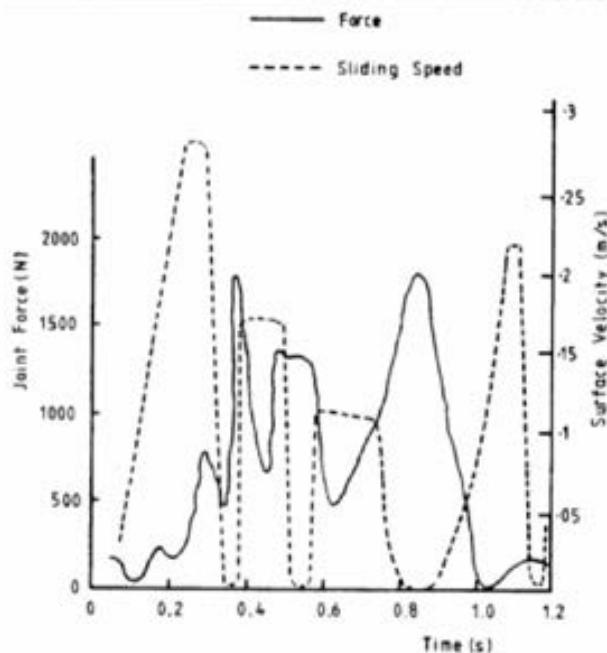


Fig.2 Knee joint forces and surface velocities at different parts of the walking cycle (after Seedhom).

WHAT KIND OF PERFORMANCE IS NEEDED?

POWER CALCULATION:  $\rightarrow$  HEAT DISSIPATION THROUGH KNEE:

$$\left( 80 \text{ kg} \times 9.8 \frac{\text{m}}{\text{s}^2} \right) \frac{.04 \text{ m}}{.3 \text{ s}} = 100 \text{ W}$$

OR A HIGH PERFORMANCE ATHLETE  
 $\sim 400 \text{ W}$

MIGHT ARGUE FOR AS MUCH AS 150 W THROUGH A KNEE

HOW MUCH 'FRICTION' COULD THERE BE?

IN TERMS OF A FRICTION  
COEFFICIENT

$$f_T = \mu f_N$$

IF  $\mu \sim .1$  , 10 - 15 W

INEFFICIENT / IMPLAUSIBLE:  
(THINK OF A RACE HORSE)

IF  $\mu \sim .01$  1 - 1.5 W

CAN HEAT BE CONDUCTED AWAY  
THROUGH BONE?

$$Q = k \frac{\Delta T}{\Delta L} A$$

IF  $k \sim .2 \frac{W}{mC^\circ}$  BONE

$$\frac{\Delta T}{L} = \frac{1.5 W}{(20 cm^2) (.2 \frac{W}{mC^\circ})} = 2500 \frac{C}{m}$$

$$= 25C/cm \quad \underline{\text{NOPE!!}}$$

IF WE CONSIDER HEAT  
LOST IN ALL DIRECTIONS

$$k_w \sim .6 \frac{W}{mK}$$

$$4\pi R^2 \sim 4\pi (2.54)(3 \text{ cm})^2 \\ = 700 \text{ cm}^2$$

$$\frac{1.5 W}{700 \text{ cm}^2} \left( \frac{1}{.6 W/mK} \right) \Rightarrow 36 \frac{K}{m}$$

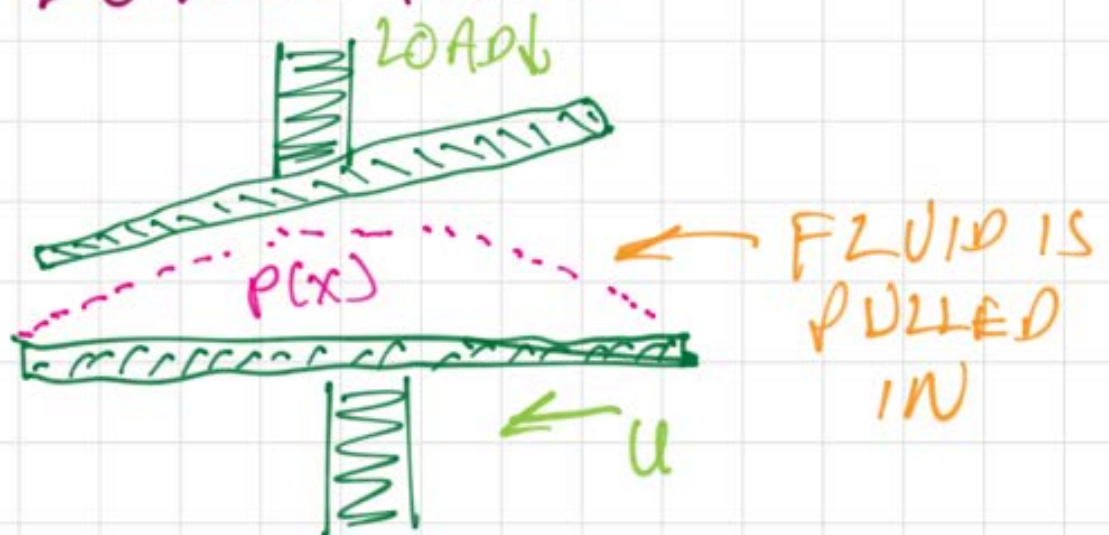
$$= .36 \text{ K/cm} \quad \underline{\underline{OK}}$$

"MY" CONCLUSION IS THAT  
FRICTION COEFFICIENT BETTER  
BE  $< 0.01$  FOR FEASIBLE HEAT  
REMOVAL

NONE OF THE CANDIDATE  
MECHANISMS WHERE LARGE  
MOLECULES TOUCH  
"SURFACES" GET THIS  
LOW:

SO CONSIDER

(ELASTO) HYDRODYNAMIC  
LUBRICATION



PRESSURE PROFILE IS RESULT OF  
LIQUID BEING FORCED THROUGH  
SMALL GAP !! 🍕

WHAT WOULD PRESSURE  
"DROP" BE FOR KNEE  
DIMENSIONS...

$$\frac{\Delta P}{2L} \frac{D}{8\mu^2} = \frac{6}{Re}$$

$$\Delta P = \frac{12 \mu L U}{D^2}$$

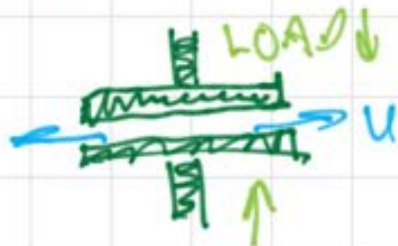
$$\mu \sim 1 \text{ g/cm}\cdot\text{s} \quad L \sim 4 \text{ cm}$$

$$U \sim 10 \text{ cm/s}, \quad D \sim 0.1 \text{ cm}$$

$$\Delta P = 5 \times 10^6 \text{ g/cm}\cdot\text{s}^2$$

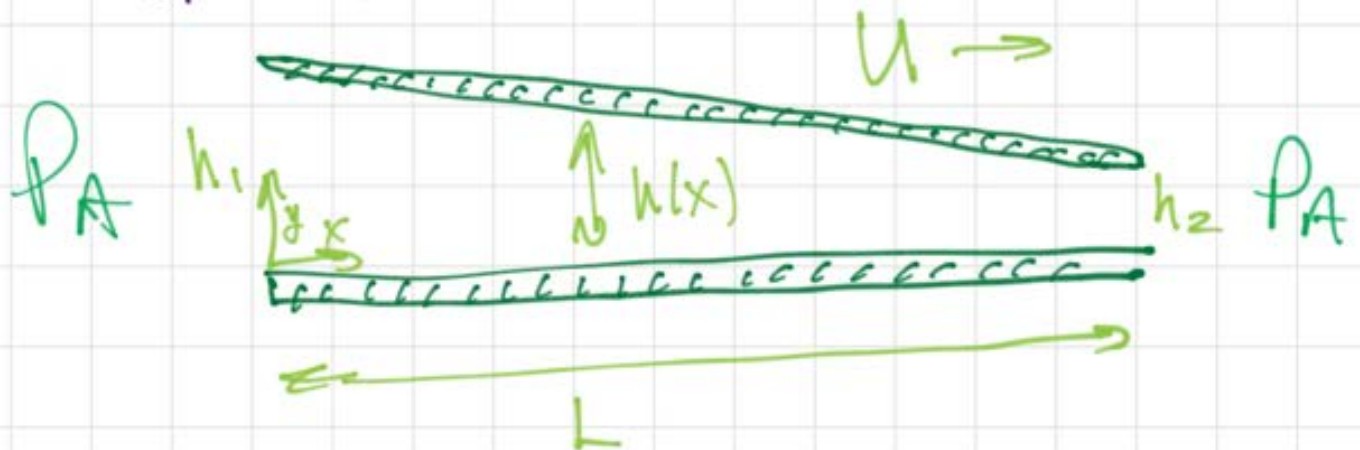
$$= 5 \text{ ATM} !!$$

SQUEEZE FILM IS SIMILAR:



# LUBRICATION ANALYSIS (SECTION 4.7 INTERT)

CONSIDER THE "SLIDER"  
AS A SIMPLE EXAMPLE



$$h \ll L$$

"SLIGHTLY" NON-PARALLEL FLOW

$$\frac{\partial v_x}{\partial x} + \frac{\partial v_y}{\partial y} = 0$$

$$\frac{U}{L} \frac{\partial v_x}{\partial x} + \frac{V}{h} \frac{\partial v_y}{\partial y} \Rightarrow V \sim \frac{h}{L} U$$

SAME RESULT AS BOUNDARY-LAYER

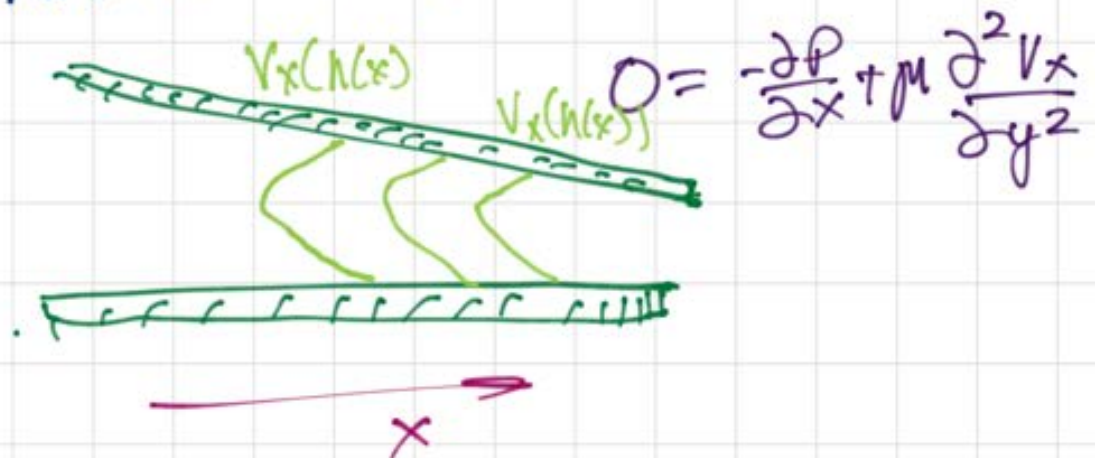


WE COULD SHOW THROUGH  
ADDITIONAL SCALING ANALYSIS  
THAT IF  $\frac{h}{L} \frac{\rho U h}{\mu} \ll 1$

INERTIA CAN BE NEGLECTED  
WITHIN GAP AND

VELOCITY IS ALWAYS

$h(x)$   $\leftarrow$  'LOCALLY' A FULLY-DEVELOPED  
LAMINAR PROFILE



## FOR SLIDER

$$v_x(0) = 0 \quad v_x(h) = u$$

$$\sim v_x = \frac{1}{2\mu} \frac{dp}{dx} [y(y-h)] + u \frac{y}{h}$$

FROM GEOMETRY:

ADJUST  $u$ ,

SEE  $h$ ,

$\mu = \text{FIXED}$

WHAT ABOUT  $\frac{dp}{dx}$  ???

WE USE THE INTEGRAL CONSTRAINT

$$\dot{Q}_x = \int_0^{h(x)} v_x(y) dy = \text{CONSTANT}$$

$$\rightarrow \dot{Q}_x = -\frac{h^3}{12\mu} \frac{dp}{dx} + \frac{uh}{2}$$

SOLVE FOR

$$\frac{dp}{dx}$$

$$\frac{dp}{dx} = \frac{-\dot{Q}_x - uh/2}{h^3/12\mu}$$

$$\frac{dp}{dx} = 6\mu \left( \frac{U}{h^2} - \frac{2Q_x}{h^3} \right) \quad h = h(x)$$

NOW PICK  $h(x)$  TO BE A  
FLAT BLOCK: "SLIDER"

$$h = h_1 - \alpha x \quad \alpha \equiv \frac{h_1 - h_2}{L}$$

$$dh = -\alpha dx$$

ELIMINATE  $dx$

$$dp = \frac{-6\mu}{\alpha} \left( \frac{U}{h^2} - \frac{2Q_x}{h^3} \right) dh$$

$$\int_{p_a}^{p(x)} dp = \frac{-6\mu}{\alpha} \int_{h_1}^{h(x)} \left( \frac{U}{h^2} - \frac{2Q_x}{h^3} \right) dh$$

$$p(x) - p_a = \frac{6\mu}{\alpha} \left[ U \left( \frac{1}{h} - \frac{1}{h_1} \right) - Q_x \left( \frac{1}{h^2} - \frac{1}{h_1^2} \right) \right]$$

NOTE THAT  $p(h_2) - p(h_1) = 0 \quad \therefore$

$$0 = \frac{6M}{\alpha} \left[ u \left( \frac{1}{h_2} - \frac{1}{h_1} \right) - Q_x \left( \frac{1}{h_2^2} - \frac{1}{h_1^2} \right) \right]$$

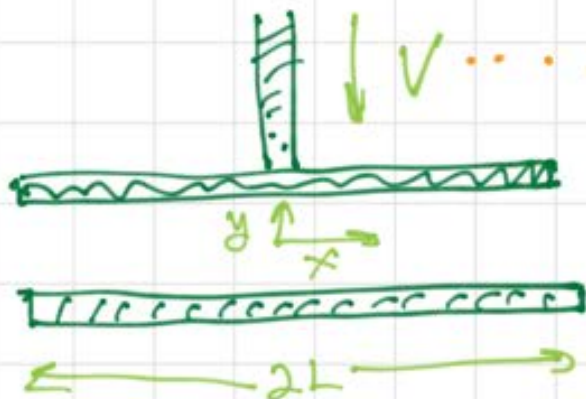
$$Q_x = \frac{u h_1 h_2}{(h_1 + h_2)}$$

$$4.7.9a \quad p(x) - p_a = \frac{6\mu u}{\alpha(h_1 + h_2)} \left[ \frac{(h_2 - h)(h - h_1)}{h^2} \right]$$

WE CAN SEE RESULTS IN

30357-16-LUBRICATION.mv

# SQUEEZE FILM



USE REYNOLDS EQUATION: 4.7.7

$$\frac{1}{\mu} \frac{d}{dx} \left( h^3 \frac{dp}{dx} \right) = 6 \left[ h \frac{du}{dx} - u \frac{dh}{dx} + 2v \right]$$

$$h = h(x)$$

$$\frac{1}{\mu} \frac{d}{dx} \left( h^3 \frac{dp}{dx} \right) = 12v$$

$$\frac{d}{dx} \left( \frac{dp}{dx} \right) = \frac{12\mu v}{h^3}$$

$$\frac{dp}{dx} = \frac{12\mu v}{h^3} x + C_1$$

①  $x=0$   $\frac{dP}{dx} = 0$  BY SYMMETRY

$$\therefore dP = \frac{12\mu V x}{h^3} dx$$

$$P(x) - P(L) = \frac{12\mu V}{h^3} \left( \frac{x^2}{2} - \frac{L^2}{2} \right)$$

$$P(L) = 0$$

$$\text{LOAD} = 2W \int_0^L p(x) dx = 2W \int_0^L \frac{12\mu V}{h^3} \left( \frac{x^2}{2} - \frac{L^2}{2} \right) dx$$

$$= \frac{24 W \mu V}{h^3} \left( \frac{x^3}{6} - \frac{xL^2}{2} \right) \Big|_0^L$$

$$= \frac{8\mu V L^3 W}{h^3}$$

WHAT WE EXPECT?

$$V = \frac{dh}{dt}$$

CAN INTEGRATE IN TIME...

SEE PLOTS IN  
MATHEMATICA NOTEBOOK

WHAT IS COEFFICIENT OF FRICTION  
FOR "SLIDER"?

$$B \equiv \frac{h_2}{h_1} \quad \frac{\text{TANGENTIAL FORCE}}{\text{NORMAL FORCE}}$$

pp. 201 -  
202

$$F_V = \int_0^L \mu \frac{dv_x}{dy} dx = \frac{2\mu U}{\alpha} W \left( 3 \frac{B-1}{B+1} - \ln B \right)$$

TANGENTIAL PRESSURE:

$$F_P = \frac{6\mu U W}{\alpha} \left( \ln B - 2 \frac{B-1}{B+1} \right)$$



$$F_T = F_V + F_P$$

$$F_T = \frac{2\mu W}{a} \left( 2 \ln \beta - 3 \frac{(\beta-1)}{(\beta+1)} \right)$$

$$F_N = \frac{1}{(1+\alpha^2)^{1/2}} \left( \frac{6\mu W}{\alpha^2} \right) \left( \ln \beta - 2 \frac{(\beta-1)}{(\beta+1)} \right)$$

4.7.15

$$R = \frac{F_T}{F_N} = \frac{\alpha}{3} \left[ \frac{2(\beta+1) \ln \beta - 3(\beta-1)}{(\beta+1) \ln \beta - 2(\beta-1)} \right]$$

FOR 'MY' KNEE,  $R < .002$