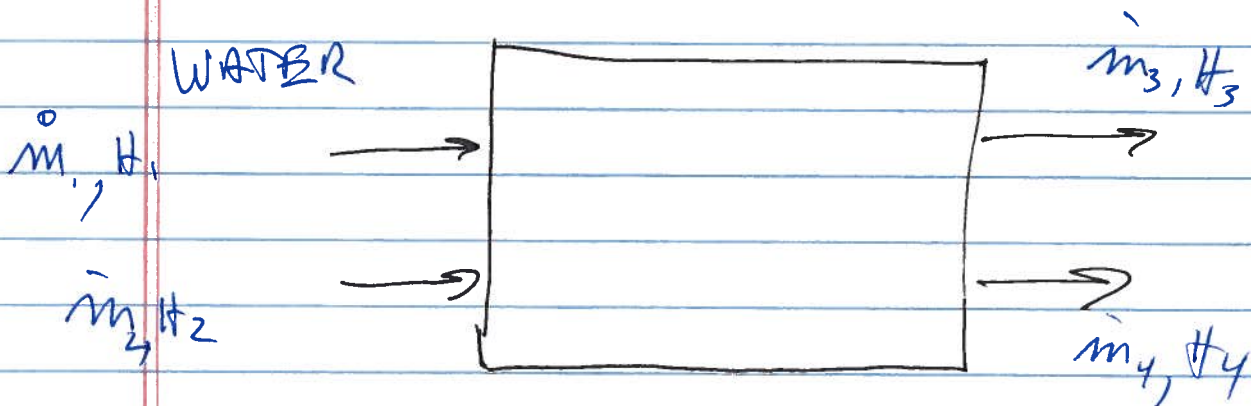


LECTURE 10

2/22/11

BASED ON WHAT WE HAVE DONE
SO FAR, THERE ARE SOME
UNEXPLAINED OBSERVATIONS
AND, IT WOULD APPEAR THAT
THE ENERGY BALANCE DOES
NOT PREVENT SOME IMPLAUSIBLE
PROCESSES:

FOR EXAMPLE:



2

$$0 = \dot{m}_1 + \dot{m}_2 - \dot{m}_3 - \dot{m}_4$$

$$0 = \dot{m}_1 h_1 + \dot{m}_2 h_2 - \dot{m}_3 h_3 - \dot{m}_4 h_4$$

SUPPOSE $\dot{m}_1 = \dot{m}_2 = \dot{m}_3 = \dot{m}_4 = 100 \text{ kg/s}$

$$h_1 = 419, \quad h_2 = 2676$$

$$T = 100 \text{ C}, \quad P = 101 \text{ kPa}$$

COOLER
LOWER
P

$$\left\{ \begin{array}{l} h_3 = 0 \\ T = 0 \text{ C} \\ P = .6 \text{ kPa} \end{array} \right\} \left\{ \begin{array}{l} h_4 = 3095 \\ T = 325 \text{ C} \\ P = 1 \text{ MPa} \end{array} \right\}$$

HIGHER T,
HIGHER P

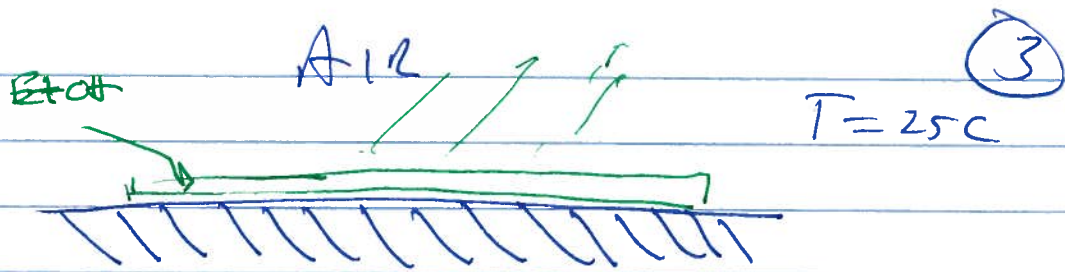
$$0 = 100 \overset{419}{\cancel{0}} + 100 (2676) - 100 (0) - 100 (3095)$$

OBSERVATIONS, INTUITION, ETC...

TELL US THAT THIS CANNOT OCCUR!!!

WE WOULD LIKE A FORMALISM

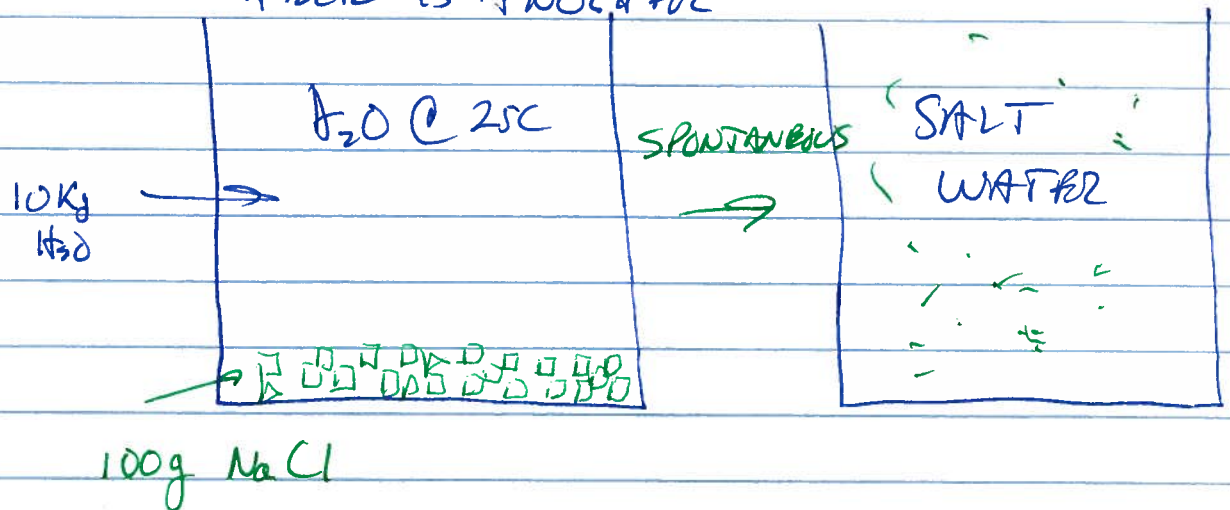
TO SUPPORT OUR INTUITION



◦ ETHANOL WILL EVAPORATE, SURFACE WILL BE COOLED

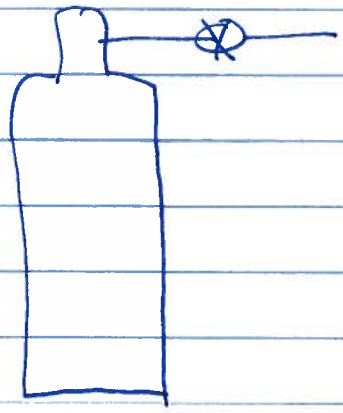
◦ PROCESS OCCURS SPONTANEOUSLY !!

THERE IS ANOTHER



WE CAN REVERSE EITHER OF THESE AND MANY OTHER PROCESSES BY ADDING HEAT, OR DOING WORK (OR DOING SOME OTHER POSITIVE ACTION)

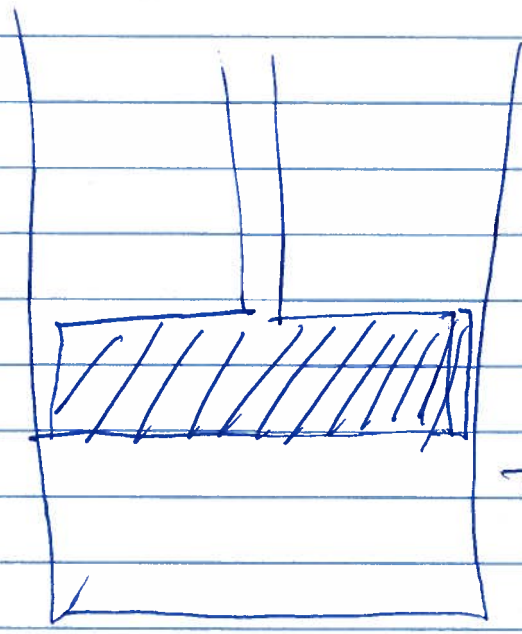
④



WE DID SOME PROBLEMS...

FILLING +
VENTING WERE
NOT NECESSARILY
THE REVERSE
OF EACH OTHER

SAME WITH PISTON COMPRESSION
OR EXPANSION



EXPANSION AGAINST
CONSTANT LOAD
IS REALISTIC AND
ACHIEVABLE,

CAN'T REVERSE
THIS PROCESS

5

WE CAN'T HELP BUT
THINK THERE IS SOME
UNDERLYING PRINCIPLE THAT
GIVES SOME INSIGHT INTO
THESE PROBLEMS...

OF COURSE THERE IS: ENTROPY

- ENTROPY, " S " IS A

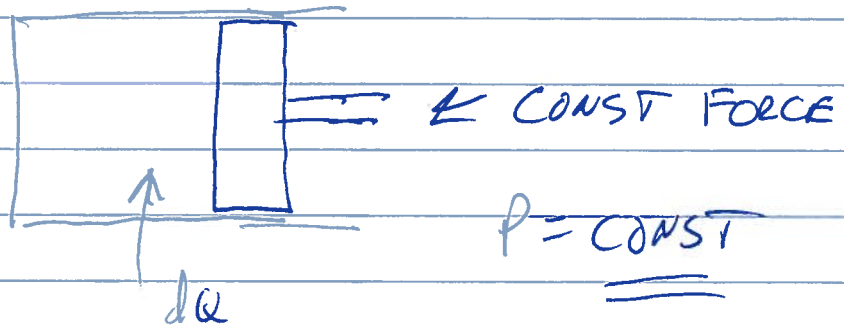
STATE FUNCTION

- WE WILL HYPOTHEZIZE A
BALANCE EQUATION FOR
 S THAT IS CONSISTENT
WITH THE UNIDIRECTIONAL
SPONTANEITY

6

HOW DOES S HAVE TO BEHAVE?

PERHAPS, "Q" IS THE KEY.



$Q \sim \Delta T$ SO A DIRECTIONALITY CAN BE ESTABLISHED

$$dS \equiv \frac{dQ}{T} \text{ REVERSIBLE}$$

NO FRICTION, NO ACCELERATION, MINIMAL GRADIENTS IN T OR VELOCITY OR CONCENTRATION

SO, STRICTLY SPEAKING

WE WON'T BE

DEVELOPING A

"CONSERVATION"

EQUATION FOR

ENTROPY!!

$$dS \geq \frac{dQ}{T}$$

SO THE CHANGE IN S

COULD BE

GREATER THAN

THIS...

7

WE REALLY CAN'T DO MUCH MORE THAN MAKE A CONJECTURE, THAT WE CAN'T TEST FOR CONSISTENCY

$$\frac{dS}{dt} = \sum_{\text{INLET}} S^{\text{IN}} \dot{m}^{\text{IN}} - \sum_{\text{OUTLET}} S^{\text{OUT}} \dot{m}^{\text{OUT}} + \frac{\dot{Q}}{T_{\text{SYSTEM}}}$$

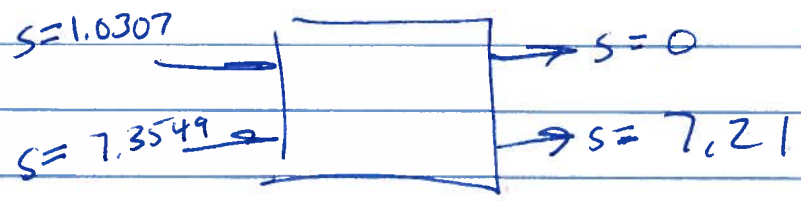
$$+ \dot{S}_{\text{GEN}}$$

WITH A "GENERATION" TERM, THAT ≥ 0 WE HAVE AN EQUALITY IN OUR EQUATION

WE KNOW WHAT THIS TERM IS

USE THIS EQUATION TO LOOK AT

FIRST PROBLEM OF THE DAY ...



$$0 = \dot{m}_1(1.031) + \dot{m}_2(7.355) - \dot{m}_3(0) - \dot{m}_4(7.21)$$

$$0 \neq 100(-.9)$$

SO THE ENTROPY BALANCE NEEDS WHAT IN!!

⑧

WE CAN'T SHOW RIGHT NOW WHY

YOU CAN'T ASSUME \dot{S}_{GEN} TAKES A

+ VALUE TO MAKE THIS WORK

REVERSIBLE PROCESS : $\dot{S}_{GEN} = 0$

$$\Delta S_{GEN} = 0$$

PROCESSES WILL NOT BE PERFECTLY
REVERSIBLE, BUT WE WON'T BE ABLE
TO JUST "PICK" THIS AT OUR
CONVENIENCE. -

LET'S CONSIDER AN IDEALIZED

HEAT ENGINE : HEAT GOES IN
WORK COMES OUT

RECALL THAT WE ESTABLISHED

THE EXISTENCE OF SUCH DEVICES !!

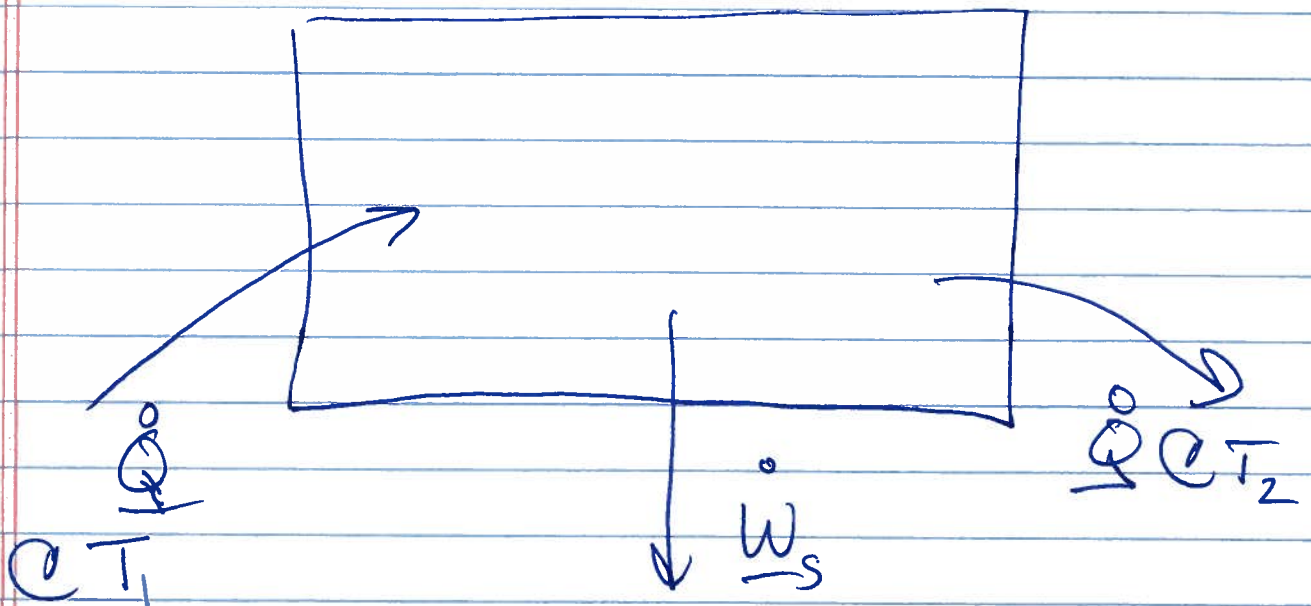
9

S.S CONTINUOUS HEAT ENGINE.

WE WANT SPECIFIC HOW IT WORKS

JUST GIVE REQUIREMENTS AND

DO THE ANALYSIS.



HEAT IN AT T_1 , HEAT OUT AT T_2

WORK OUT.

$$T_1 > T_2$$

WE COULD HAVE $T_2 > T_1$, BUT
THEN WORK WOULD HAVE TO GO IN
AND WE WOULD HAVE A HEAT PUMP.

FOR OUR HEAT ENGINE

ENERGY BALANCE

$$0 = \dot{Q}_1 + \dot{Q}_2 + \dot{W}_S$$

ENTROPY BALANCE

$$\frac{dS}{dt} = \sum_{in} \dot{S}^{in} - \sum_{out} \dot{S}^{out} + \frac{\dot{Q}}{T_{SYS}} + \dot{S}_{GEN}$$

$\frac{dS}{dt} \rightarrow 0, \text{ s.s.}$
 $\sum_{in} \dot{S}^{in} \rightarrow \text{NO FLOW}$
 $\sum_{out} \dot{S}^{out} \rightarrow \text{NO FLOW}$
 $\dot{S}_{GEN} \rightarrow \text{START WITH THIS IS } = 0.$

$$0 = \frac{\dot{Q}_1}{T_1} + \frac{\dot{Q}_2}{T_2}$$

DO SOME MANIPULATION:

$$\dot{Q}_2 = -\frac{\dot{Q}_1}{T_1} T_2$$

THEN SUB INTO
E BALANCE...

(11)

$$0 = \dot{Q}_1 - \frac{\dot{Q}_1 T_2}{T_1} + \dot{W}_s$$

$$-\dot{W}_s = \dot{Q}_1 \left(1 - \frac{T_2}{T_1} \right)$$

IF WE CONSIDER AN EFFICIENCY

$$\varepsilon = \left(\frac{\dot{W}_s}{\dot{Q}_1} \right) = \frac{\text{NETWORK OUT}}{\text{HEAT IN}}$$

$$\varepsilon = \left(1 - \frac{T_2}{T_1} \right) \leq 1$$

SO WE CANT TURN ALL OF OUR

HEAT INTO WORK $\left| \begin{array}{l} 1 \\ 1 \end{array} \right|$

(UNLESS $T_2 = 0$)

- WE HAVE NOT SHOWN THAT WE CAN MAKE SUCH A DEVICE, BUT IF WE CAN IT WILL BE THE MAXIMUM EFFICIENCY FOR A HEAT ENGINE

MEANING IF WE EITHER START WITH "HEAT" → SAY INTERNAL ENERGY OF A GAS.

OR WE START OUR PROCESS WITH A COMBUSTION REACTION, SO THAT THE INTERNAL ENERGY IS UNCORRELATED, RANDOM MOTION.

PROFOUND

OBSERVATIONS



- THIS IS THE BEST WE CAN DO
- INCREASING T_1 OR DROPPING T_2 WILL BE THE WAY TO IMPROVE EFFICIENCY

(13)

CAN WE FIGURE OUT A CYCLE
THAT COULD GIVE THIS

"HIGH" EFFICIENCY?

OFCOURSE, IT IS A CARNOT CYCLE
AND HAS BEEN KNOWN FOR A LONG TIME

BUT IT IS NOT PARTICULARLY PRACTICAL
NOR SHOULD IT BE CONSIDERED
SOMETHING OBVIOUS

- WE JUST INTRODUCED ENTROPY,
SO LET'S MAKE AN OBSERVATION

$$\underline{dS} \geq \underline{\frac{dQ}{T}}$$

SO IF $\underline{dQ} = 0$ (ADIABATIC)

THEN $\underline{dS} = 0$

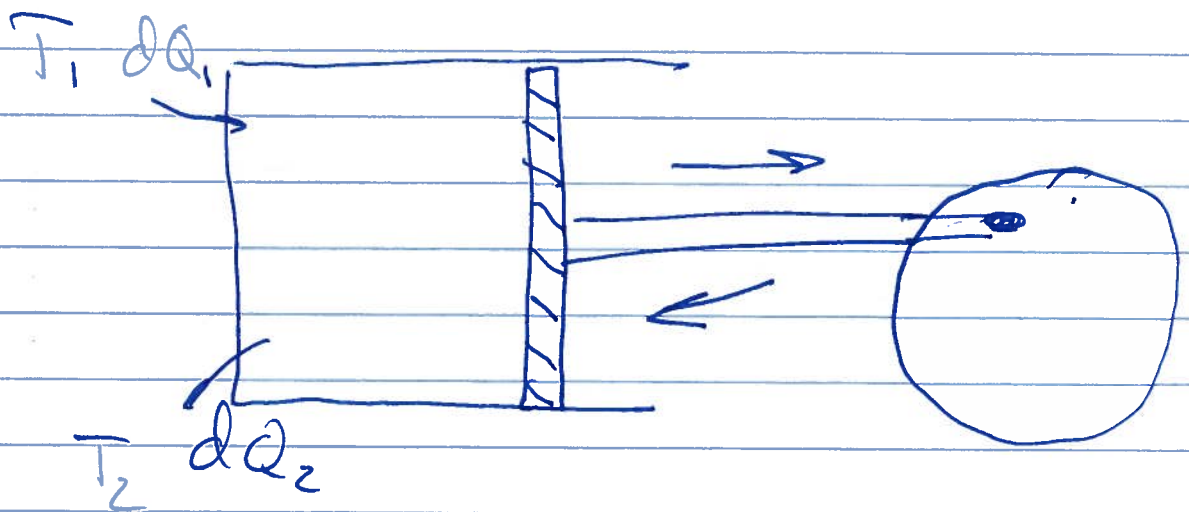
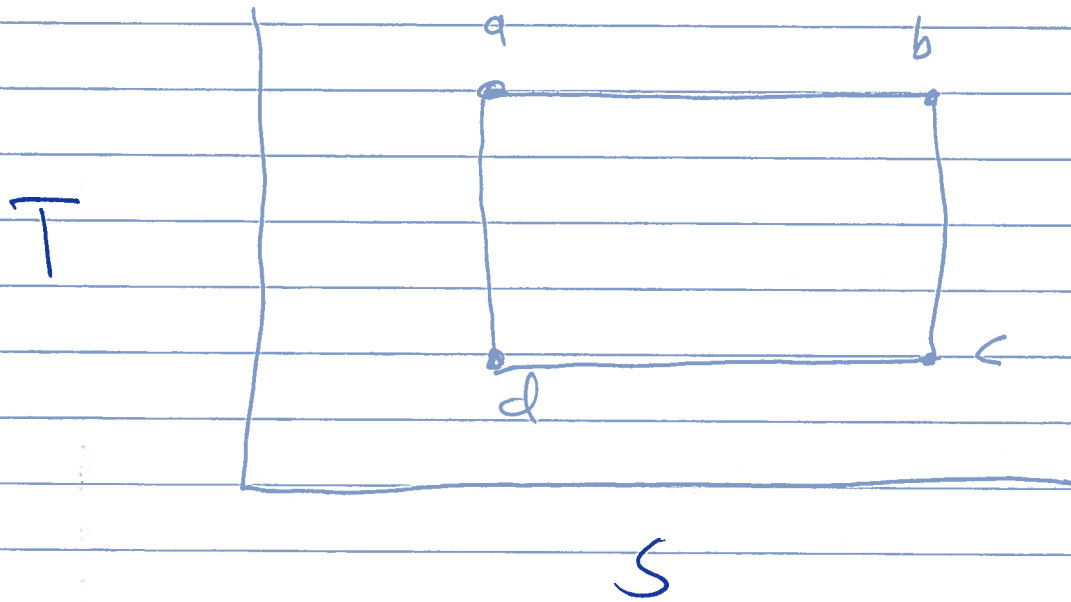
ALSO NOTE: WE SAID HEAT
ENGINE ADDS HEAT AT T_1 AND
REMOVES HEAT AT T_2

ISOTHERMAL STEPS

THUS HOW ABOUT THE

(14)

FOLLOWING PROCESS



$a \rightarrow b$ CONST T

1) STEP-1 - HEAT INTO PISTON
FROM T_1 SOURCE, EXPANSION
OF GAS, WORK OUT

2) STEP-2 $b \rightarrow c$ ADIABATIC EXPANSION
FLUID COOLS, WORK OUT

3) STEP-3 $c \rightarrow d$ CONST T
COMPRESSION HEAT OUT

4) STEP-4 $d \rightarrow a$ ADIABATIC COMPRESSION
BACK TO START

WORK IN
// dW_1

STEP-1
$$d\underline{U}_1 = d\underline{Q}_1 - (P d\underline{V})_1$$

$$d\underline{S}_1 = \frac{d\underline{Q}_1}{T_1}$$

// dW_2

STEP-2
$$d\underline{U}_2 = - (P d\underline{V})_2$$

$$d\underline{S}_2 = 0$$

// dW_3

STEP-3
$$d\underline{U}_3 = d\underline{Q}_3 - (P d\underline{V})_3$$

$$d\underline{S}_3 = \frac{d\underline{Q}_3}{T_3}$$

// dW_4

STEP-4
$$d\underline{U}_4 = - (P d\underline{V})_4$$

$$d\underline{S}_4 = 0$$

SINCE IT IS A CYCLE

$$\sum d\underline{u} = 0$$

$$\sum d\underline{s} = 0$$

ENERGY BALANCE
FOR
CYCLE

$$0 = d\underline{Q}_1 + d\underline{Q}_3 + d\underline{W}_1 + d\underline{W}_2 + d\underline{W}_3 + d\underline{W}_4$$

ENTROPY
FOR
CYCLE

$$0 = \frac{d\underline{Q}_1}{T_1} + \frac{d\underline{Q}_3}{T_3}$$

$$-\sum_i d\underline{W}_i = d\underline{Q}_1 - \frac{T_3}{T_1} d\underline{Q}_1$$

$$-\sum_i d\underline{W}_i = d\underline{Q}_1 \left(1 - \frac{T_3}{T_1} \right)$$

THIS IS THE SAME RESULT AS BEFORE!

WE KNOW HOW TO MAKE A MAXIMALLY

EFFICIENT ENGINE!

NET WORK = HEAT IN $\left(1 - \frac{\sqrt{3}}{1.1} \right)$

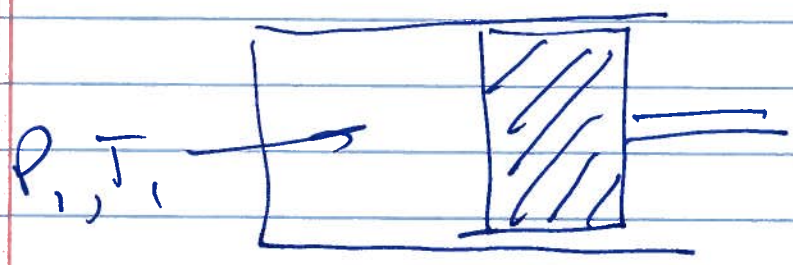
IF YOU WANT TO MAKE SUCH A DEVICE LOOK LIKE CONTINUOUS S.S.

ENGINE : CONSIDER 4 CYLINDERS

(RESULT TELLS THE BEST WE CAN DO)

WE WILL NEED TO COMPARE OUR REAL CYCLES AGAINST IT.

o CAN WE UNDERSTAND THIS LIMITED EFFICIENCY ?



^{K.E. FOR GAS}
 $U_1 \sim \frac{3}{2} \langle u_1^2 \rangle$
THE MOTION IS RANDOM!

IF WE LET IT EXPAND TO $P_2 < P_1$

WE STILL HAVE SOME RANDOM MOTION

$P_2, T_2 \sim \frac{3}{2} \langle u_2^2 \rangle$, NOW u_1, u_2 ARE SMALLER

18

SINCE MOTION IS INITIALLY RANDOM,

WE CAN'T GET IT ALL BACK.

WE GET MORE IF ΔT IS HIGHER.

$$SO \sim \quad \Sigma \sim \frac{T_1 - T_3}{T_1}$$

DOES NOT SEEM UNREASONABLE.

SO OUR CARNOT CYCLE ANALYSIS

INFORMS SIGNIFICANT FUNDAMENTAL

UNDERSTANDING !!



SUMMARY

ENTROPY

• NEW (TO US) STATE FUNCTION

• IT COMES WITH A SIMPLE DEFINITION

$$\underline{dS} = \frac{dq}{T}$$

AND A BALANCE EQ.

$$\frac{dS}{dt} = \sum \dot{m} S_{in} - \sum \dot{m} S_{out} + \frac{\dot{Q}}{T} + \dot{S}_{GEN}$$

MAXIMUM EFFICIENCY FOR HEAT ENGINE:

$$E = \left(1 - \frac{T_2}{T_1} \right)$$

$T_1 > T_2$

• "S" IS WHAT THE EQUATIONS SAY IT IS !!

• IF YOU WANT TO THINK OF SOME MECHANISTICS FOR AN IDEAL GAS. . .

S IS A (RELATIVE) MEASURE OF DISORDER

RAD/KG